

Submitted via Hand-Delivery for Electronic Filing

December 1, 2017

ACCEPTED/FILED

Marlene H. Dortch

EX PARTE OR LATE FILED

DEC - 1 2017

Secretary

Federal Communications Commission

Office of the Secretary

Federal Communications Commission 445 12th Street, SW Washington, DC 20554

Re: Ex Parte presentation pursually plant plant

Dear Ms. Dortch:

The National Hispanic Media Coalition (NHMC) submits the documents received pursuant to Freedom of Information Act (FOIA) requests via hand-delivered USB flash drive into the record in the above-referenced proceeding. NHMC requests that the documents be officially incorporated as part of the record and uploaded electronically to the Federal Communications Commission's (FCC) Electronic Comment Filing System (ECFS).²

As the FCC noted in its draft Order, NHMC is familiar with how to file documents in ECFS³ and is therefore aware that there are very specific limitations for uploading documents.4 Each submission can have a maximum of five files and the combined files cannot exceed 25 MB.5 Additionally, the only supported files are pdf, text, ppt, pptx, docx, xlsx, doc, xls, rtf, ppt, pptx,

DOCKET FILE COPY ORIGINAL

See FCC Electronic Comment Filing System "Submit A Filing", https://www.fcc.gov/ecfs/filings (last visited Dec. 1, 2017).

⁵ See id.

Headquarters | 65 South Grand Ave | Pasadena CA 91105 | 626 792 6462 Washington, DC Office | 718 7th St NW | Washington, DC 20001 | 202 596 2063 info@nhmc.org | www.nhmc.org

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See Attachment A (Excel spreadsheet listing all documents provided by the FCC to NHMC).

² See FCC Response to NHMC FOIA Request, https://www.fcc.gov/response-nhmc-foia-request (last visited Dec. 1, 2017) (the FCC uploaded all documents responsive to NHMC's FOIA requests to its website, but to date, failed to incorporate this vital evidence as part of the record in WC Docket No. 17-108); see also NHMC Releases New Net Neutrality Documents to Public Showing Importance of Open Internet Order, http://www.nhmc.org/foia-release/ (last visited Dec. 1, 2017) (NHMC publicly posted the documents it received in response to the FOIA to its own website for public inspection and analysis). ³ See Restoring Internet Freedom, WC Docket No. 17-108, Declaratory Ruling, Report and Order, and Order, FCC-CIRC1712-04 at n. 1138 (draft rel. Nov. 22, 2017) ("We are confident that NHMC is familiar with how to file documents in ECFS that it believes are relevant to this proceeding given the numerous filings it has made in this docket, including the NHMC Joint Motion and NHMC Joint Response.") (Restoring Internet Freedom Draft Order).

and dwg.⁶ The FCC provided its FOIA productions to NHMC over email by attaching compressed zip files, which are not supported by the ECFS.⁷

Further, in response to the FOIA requests, NHMC received 67 documents representing nearly 70,000 pages, with a total file size of approximately 326 MB. This would require at a minimum, thirteen separate submissions to ECFS, if not more, due to the fact that certain files are close to the 25 MB limit.

NHMC is incorporating these documents into the record in the event the Commission continues to refuse to do so as it has signaled in its draft Order. NHMC reasserts that these documents are critical evidence to the questions posed in the Notice of Proposed Rulemaking related to the benefits of Title II Net Neutrality regulations.

Respectfully submitted,

Carmen Scurato, Esq.

Director, Policy & Legal Affairs National Hispanic Media Coalition

718 7th Street NW Washington, DC 20001 (202) 596-8997

cscurato@nhmc.org

⁶ See id.

⁷ See id. As an example, on August 24, 2017 NHMC received four emails from the FCC. The first email attached a zip file "Consumer Complaints for FOIAs 2017-565 & 577" of 16.5 MB, and ten Excel spreadsheets. A second email attached the "Carrier Responses for FOIA 2017-577" zip file of 23.3 MB. The third and fourth email contained "Ombudsperson Emails for 2017-577 1 of 2" a 21.2 MB zip file and "Ombudsperson Emails for 2017-577 2 of 2" a 20.3 MB zip file respectively. See Attachment B.

⁸ See Attachment A. This does not include the 21 cover letters and emails that NHMC received as this production. See Attachment B (providing all FCC cover letters and emails as part of NHMC's productions).

⁹ See Restoring Internet Freedom Draft Order at para. 335.

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Federal Communications Communication Office of the Secretary



Attachment A

Excel spreadsheet listing all documents provided by the FCC to NHMC pursuant to Freedom of Information Act requests filed in May 2017

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6/29/17	FOIA 2017-565 (Open Internet 100 Sample Complaints only) FOIA 2017-577 (Internet Complaints 100 Sample Complaints Only)	Samples	Internet Billing	PDF	310	
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8/24/17	Complaint data for 2017-565 (1) Blocking	Spreadsheet	Blocking	XLS	8	
8/24/17	Complaint data for 2017-565 (2) Data Caps	Spreadsheet	Data Caps	XLS		4.1
8/24/17	Complaint data for 2017-565 (3) Transparency	Spreadsheet	Transparency	XLS	53	
8/24/17	Complaint data for 2017-565 (4) Throttling	Spreadsheet	Throttling	XLS	151	
8/24/17	Complaint data for 2017-565 (5) Open Internet (other)	Spreadsheet	Other	XLS	929	
8/24/17	Complaint data for 2017-577 (1) Interference	Spreadsheet	Interference	XLS		1
8/24/17	Complaint data for 2017-577 (2) Jamming-Blocking	Spreadsheet	Jamming-Blocking	XIS	102	
8/24/17	Complaint data for 2017-577 (3) Privacy	Spreadsheet	Privacy	XLS	820	
8/24/17	Complaint data for 2017-577 (4) Inconsistent Speeds	Spreadsheet	Inconsistent Speeds	XLS	122	:
8/24/17	Complaint data for 2017-577 (5) Less than advertised speed	Spreadsheet	Advertised Speed	XLS	255	
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	Carrier responses for 2017-565 attachments-data caps FOR					
8/24/17	RELEASE_Redacted	Carrier Responses	Data Caps	PDF		1.9
	Carrier responses for 2017-565 attachments-Inacc Disc.FOR					
8/24/17	RELEASE_Redacted	Carrier Responses	Innacurate Disclosures	PDF		4.8
	Carrier responses for 2017-565 attachments-Throttling.FOR					:
8/24/17	RELEASE_Redacted	Carrier Responses	Throttling	PDF		5.6
	Carrier responses for 2017-577 attachments-Inconsistent.FOR					
8/24/17	RELEASE_Redacted	Carrier Responses	Inconsistent Speeds	PDF		6.8
8/24/17	FOIAs 2017-565 & 577 combined-Blocking FOR RELEASE_Redacted	Consumer Complaints	Blocking	PDF		2.3
8/24/17	FOIAs 2017-565 & 577 combined-data caps FOR RELEASE_Redacted	Consumer Complaints	Data Caps	PDF		2.7
8/24/17	FOIAs 2017-565 & 577 combined-Inconsistent FOR RELEASE Redacted	Consumer Complaints	Inonsitent Speeds	PDF	292	
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Attachment B

June 20, 2017 – September 14, 2017 FCC FOIA Cover Letters and Emails to NHMC



Federal Communications Commission Consumer & Governmental Affairs Bureau Washington, D.C. 20554



June 20, 2017

Carmen Scurato
National Hispanic Media Coalition
cscurato@nhmc.org

FOIA Nos. 2017-565, 2017-577, 2017-638 & 2017-639

Dear Ms. Scurato:

This letter responds to your recent Freedom of Information Act ("FOIA") requests received by the Federal Communications Commission (FCC or Commission) and assigned to the Consumer & Governmental Affairs ("CGB"), Enforcement "(EB") and Wireline Competition Bureaus ("WCB"). Among other things, you are requesting documents, information and communications regarding the "FCC's enforcement of the 2015 Open Internet Order, GN Docket No. 14-28, FCC-15-24 (Rel. Mar. 12, 2015) that went into effect on June 12, 2015." We are responding to your requests electronically. Pursuant to section 0.461(g)(1)(i) of the Commission's rules, the date for responding to your requests has been extended from May 31, 2017, to June 20, 2017, due to a need to search records from multiple offices of the Commission.

Please be advised that your four FOIA requests were aggregated for calculation of the FOIA fees. On May 22, 2017, via telephone, you spoke with Mike Hennigan of my staff regarding your requests and you were advised that our search located approximately 47,279 complaints related to "Open Internet." You advised Mr. Hennigan that you would be interesting in receiving the first 100 samplings of the complaints we located, per complaint category and complaints sub-categories for complaints filed in "2015, 2016 as well as 2017."

Therefore, CGB conducted a search of the databases in which we maintain the records of informal complaints filed by, or on behalf of, consumers. Our search revealed approximately 1000 complaints that are responsive to your request, which are attached. We have attached data you are requesting related to the approximately 47,279 complaints related to "Open Internet." Also, as you requested, our search revealed 308 pages of carrier responses and approximately 1,500 emails related to your request. WCB has advised us that they have potentially responsive documents which they are continuing to process, and will respond to your request as expeditiously as possible. EB informed CGB that a search of their records identified no responsive records.

Also, on May 22, 2017, you agreed that due to the volume of documents located and the number of hours involved in processing your request, we would provide you with responsive documents on a rolling basis in order to complete your request in the most efficient and timely manner possible. Please be advised that the FCC receives many complaints and comments that do not involve violations of the Communications Act or any FCC rule or order. Thus, the existence of a complaint or comment filed against a particular carrier or business entity does not necessarily indicate any wrongdoing by any individuals or business entities named in the complaint or comment. The attached complaints represents information provided by the public that has not been verified by the FCC.

Record responsive to your request were withheld or redacted under FOIA Exemption 6.1 Exemption 6 protects files containing personally identifiable information disclosure of which would constitute a clearly unwarranted invasion of personal privacy. Balancing the public's right to disclosure against the individual's right to privacy, we have determined that release of this information would constitute a clearly unwarranted invasion of personal privacy. Therefore, all FCC employee's names, complainant's addresses, and the complainant's telephone numbers were redacted under Exemption 6

FOIA and FCC rules require the FCC to charge requesters for time spent searching for and reviewing responsive documents, and for copying them." Pursuant to section 0.466(a)(5)-(7) of the Commission's rules, you have been classified as category (2), "educational requesters, non-commercial scientific organizations, or representatives of the news media." As an "educational requester, non-commercial scientific organization, or representative of the news media," the Commission assesses charges to recover the cost of reproducing the records requested, excluding the cost of reproducing the first 100 pages. The production in response to your request is electronic, and did not involve any duplication. Therefore, you will not be charged any fees.

You have requested a fee waiver pursuant to section 0.047(e) of the Commission's rules.³ As you are not required to pay any fees in relation to your FOIA request, the Office of the General Counsel, which reviews such request, does not make a determination on your request for a fee waiver.

If you consider this to be a denial of your FOIA request, you may seek review by filing an application for review with the Office of General Counsel. An application for review must be *received* by the Commission within 90 calendar days of the date of this letter. You may file an application for review by mailing the application to Federal Communications Commission, Office of General Counsel, 445 12th St SW, Washington, DC 20554, or you may file your application for review electronically by e-mailing it to FOIA-Appeal@fcc.gov. Please caption the envelope (or subject line, if via e-mail) and the application itself as "Review of Freedom of Information Action" and the application should refer to FOIA Nos. 2017-565, 2017-577, 2017-638 and 2017-639.

¹ 5 U.S.C. § 552(b)(6).

² 47 CFR § 0.466(a)(5)-(7).

³ 47 CFR § 0.470(e).

⁴ 47 CFR §§ 0.461(j), 1.115; 47 CFR § 1.7 (documents are considered filed with the Commission upon their receipt at the location designated by the Commission).

If you would like to discuss this response before filing an application for review to attempt to resolve your dispute without going through the appeals process, you may contact the Commission's FOIA Public Liaison for assistance at:

FOIA Public Liaison
FCC, Office of the Managing Director,
Performance Evaluation and Records Management
445 12th St SW,
Washington, DC 20554
FOIA-Public-Liaison@fcc.gov

If you are unable to resolve your FOIA dispute through the Commission's FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman's Office, offers mediation services to help resolve disputes between FOIA requesters and Federal agencies. The contact information for OGIS is:

Office of Government Information Services
National Archives and Records Administration
8601 Adelphi Road-OGIS
College Park, MD 20740-6001
202-741-5770
877-684-6448
ogis@nara.gov
ogis.archives.gov

Sincerely,

Nancy Stevenson Deputy Chief

Consumer Policy Division

Consumer & Governmental Affairs Bureau

Attachments

Subject: FOIA Requests 2017-565 & 577 (1 of 4)

Date: August 24, 2017 at 4:17 PM

To: Carmen Scurato cscurato@nhmc.org



Attached please find a production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

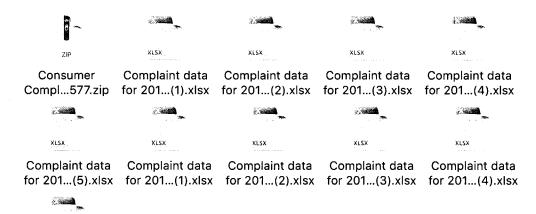
As I have already discussed with you in our August 18 phone conversation, the Commission is dedicating significant staff resources to reviewing the 47,000 complaints and other related documents you have requested in order to remove the sensitive personal information of consumers that falls under FOIA exemption (b)(6). This production includes not only 7,044 pages of consumer complaints and Excel spreadsheets with data relating to the entire universe of consumer complaints you requested; it also includes 457 pages of carrier responses and all 1,500 emails from the ombudspersons that you requested. My expectation is that we will be able to provide you another significant production this time next week and in following weeks.

Please be advised that the FCC receives many complaints and comments that do not involve violations of the Communications Act or any FCC rule or order. Thus, the existence of a complaint or comment filed against a particular carrier or business entity does not necessarily indicate any wrongdoing by any individuals or business entities named in the complaint or comment. The attached documents represent information provided by the public that has not been verified by the FCC.

Due to file size, the responsive documents for this production of documents will be sent over four separate e-mails. This is e-mail one of four.

Best,

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774





Complaint data for 201...(5).xlsx

Subject: FOIA Requests 2017-565 & 577 (2 of 4)

Date: August 24, 2017 at 4:22 PM

To: Carmen Scurato cscurato@nhmc.org



This is email two of four in a series for the production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



ZIP

Carrier Respo...577.zip

Subject: FOIA Requests 2017-565 & 577 (3 of 4)

Date: August 24, 2017 at 4:25 PM

To: Carmen Scurato cscurato@nhmc.org



This is email three of four in a series for the production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



ZIP

Ombudsperson Emails...of 2.zip

Subject: FOIA Requests 2017-565 & 577 (4 of 4)

Date: August 24, 2017 at 4:27 PM

To: Carmen Scurato cscurato@nhmc.org



This is email four of four in a series for the production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



ZIP

Ombudsperson Emails...of 2.zip

Subject: FOIA Requests 2017-565 & 577 (1 of 4)

Date: August 29, 2017 at 4:52 PM

To: Carmen Scurato cscurato@nhmc.org

Ms. Scurato,

Attached please find the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577. This production includes 13,311 pages of consumer complaints, in addition to the 7,044 pages of consumer complaints sent on August 24. It also includes 127 pages of carrier responses in addition to the 457 pages of carrier responses also sent on August 24. This comes to a total of 20,939 documents provided thus far on top of the 1,500 ombudsperson emails and Excel spreadsheets. I anticipate providing you with another significant production next week.

Please be advised that the FCC receives many complaints and comments that do not involve violations of the Communications Act or any FCC rule or order. Thus, the existence of a complaint or comment filed against a particular carrier or business entity does not necessarily indicate any wrongdoing by any individuals or business entities named in the complaint or comment. The attached documents represent information provided by the public that has not been verified by the FCC.

Due to file size, the responsive documents for this production of documents will be sent over four separate e-mails. This is e-mail one of four.

Best,

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



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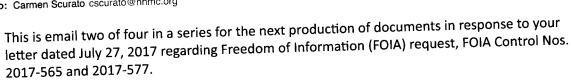
Carrier Responses.zip



Subject: FOIA Requests 2017-565 & 577 (2 of 4)

Date: August 29, 2017 at 4:53 PM

To: Carmen Scurato cscurato@nhmc.org



Kristine Fargotstein **Special Counsel** Office of General Counsel Federal Communications Commission (202) 418-2774



Consumer Compl...sed.zip



Subject: FOIA Requests 2017-565 & 577 (3 of 4)

Date: August 29, 2017 at 4:54 PM

To: Carmen Scurato cscurato@nhmc.org



This is email three of four in a series for the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



Consumer Compl...ling.zip

Subject: FOIA Requests 2017-565 & 577 (4 of 4)

Date: August 29, 2017 at 4:55 PM

To: Carmen Scurato cscurato@nhmc.org



This is email four of four in a series for the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



ZIP

Consumer Compl...aps.zip

Subject: FOIA Requests 2017-565 & 577 (1 of 5)
Date: September 5, 2017 at 4:18 PM
To: Carmen Scurato cscurato@nhmc.org

Ms. Scurato,

Attached please find the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577. This production includes 21,702 pages of consumer complaints and 156 pages of carrier responses. This comes to a total of 42,797 pages of documents provided thus far on top of the 1,500 ombudsperson emails and Excel spreadsheets. I anticipate providing you with another significant production next week.

Please be advised that the FCC receives many complaints and comments that do not involve violations of the Communications Act or any FCC rule or order. Thus, the existence of a complaint or comment filed against a particular carrier or business entity does not necessarily indicate any wrongdoing by any individuals or business entities named in the complaint or comment. The attached documents represent information provided by the public that has not been verified by the FCC.

Due to file size, the responsive documents for this production of documents will be sent over five separate e-mails. This is e-mail one of five.

Best,

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



Carrier Responses.zip

Subject: FOIA Requests 2017-565 & 577 (2 of 5)
Date: September 5, 2017 at 4:19 PM
To: Carmen Scurato escurato@nhmc.org



This is email two of five in a series for the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



Consumer Compl...acy.zip

Subject: FOIA Requests 2017-565 & 577 (3 of 5)

Date: September 5, 2017 at 4:20 PM
To: Carmen Scurato cscurato@nhmc.org



This is email three of five in a series for the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



ΖIΡ

Subject: FOIA Requests 2017-565 & 577 (4 of 5)

Date: September 5, 2017 at 4:21 PM

To: Carmen Scurato cscurato@nhmc.org



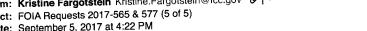
This is email four of five in a series for the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein **Special Counsel** Office of General Counsel Federal Communications Commission (202) 418-2774



Subject: FOIA Requests 2017-565 & 577 (5 of 5) Date: September 5, 2017 at 4:22 PM

To: Carmen Scurato cscurato@nhmc.org





This is email five of five in a series for the next production of documents in response to your letter dated July 27, 2017 regarding Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565 and 2017-577.

Kristine Fargotstein **Special Counsel** Office of General Counsel **Federal Communications Commission** (202) 418-2774



Subject: FOIA Requests 2017-565, 577, & 568 (1 of 6)

Date: September 14, 2017 at 2:20 PM
To: Carmen Scurato cscurato@nhmc.org



Attached please find the final production of documents in response to your Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565, 2017-577, and 2017-568, and a letter formally responding to your request. This production includes 26,159 pages of consumer complaints and 83 pages of carrier responses. This comes to a total of 69,465 pages of documents provided, including the 1,500 ombudsperson emails and Excel spreadsheets.

Please be advised that the FCC receives many complaints and comments that do not involve violations of the Communications Act or any FCC rule or order. Thus, the existence of a complaint or comment filed against a particular carrier or business entity does not necessarily indicate any wrongdoing by any individuals or business entities named in the complaint or comment. The attached documents represent information provided by the public that has not been verified by the FCC.

Due to file size, the responsive documents for this production of documents will be sent over six separate e-mails. This is e-mail one of six.

Best,

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



FOIA 2017-565 577 63...er.docx



Federal Communications Commission Washington, D.C. 20554

September 14, 2017

Carmen Scurato
National Hispanic Media Coalition
Washington, DC Office
718 7th Street NW
Washington, DC 20001
Via e-mail to cscurato@nhmc.org

Re: FOIA Control Nos. 2017-565, 2017-577, and 2017-638

Ms. Scurato:

This letter responds to your Freedom of Information Act (FOIA) requests for "all documents, information, and communications related to informal complaints submitted to the FCC since June 2015 under the category of Open Internet/Net Neutrality, for all sub-issues such as blocking, data caps, inaccurate disclosures/transparency, throttling, and other;" "for Internet complaints relating to speed issues, including all sub-issues such inconsistent speed, less than advertised speed, and other;" "for Internet complaints relating to interference issues, including all sub-issues such jamming/blocking (including Wi-Fi), and other;" and "for Internet complaints relating to privacy." These requests also asked for "all formal complaints filed since June 2015 under 47 C.F.R. § 8.12" and all records "indicating when consumers, businesses, and other organizations' sought guidance from the ombudsperson [or] from the Consumer and Governmental Affairs Bureau (CGB)." These requests were dated May 1, 2017; May 5, 2017; and May 17, 2017, and assigned FOIA Control Nos. 17-565, 17-577, and 17-638 respectively. These requests were modified by your letter from July 27, 2017, accepting the FCC's offer to provide the following documents:

- "1,500 emails from ombudsperson(s) Parul Desai and Michael Janson";
- "more than 47,000 consumer complaints";
- "the spreadsheet with data for the more than 47,000 consumer complaints"; and
- "the 308 carrier responses that relate to the initial production of 1,000 consumer complaints"

Pursuant to section 0.461(g)(1)(i) of the Commission's rules and the need to examine such a voluminous amount of records in order to redact consumer's personal and sensitive information, the date for responding to your full request has been extended from September 1, 2017, to provide the documents on a rolling basis on June 20, August 24, August 29, September 5, and September 14.

The Consumer and Governmental Affairs Bureau, along with the Wireline Competition Bureau and Office of General Counsel, located nearly 70,000 pages of records responsive to your request. A team of thirty-two employees from across the Commission spent 1,017 hours redacting consumer's personal and sensitive material on the pages produced due to the reasons discussed below.

Records responsive to your request were redacted under FOIA Exemption 6. Exemption 6 protects "personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy." The information redacted included the names, contact information, account numbers, and other sensitive personal information of parties that filed complaints or otherwise contacted the Commission. Balancing the public's right to disclosure against the individual's right to privacy, we have determined that release of this information would constitute a clearly unwarranted invasion of personal privacy by revealing the personal information of complainants.

We have determined that it is reasonably foreseeable that disclosure would harm the privacy interest of the persons at the Commission, which Exemption 6 is intended to protect.

Additionally, records responsive to your request were also redacted under FOIA Exemption 5.² Exemption 5 protects certain inter-agency and intra-agency records that are normally considered privileged in the civil discovery context. Exemption 5 encompasses a deliberative process privilege intended to "prevent injury to the quality of agency decisions." To fall within the scope of this privilege the agency records must be both predecisional and deliberative. Predecisional records must have been "prepared in order to assist an agency decision maker in arriving at his decision." Deliberative records must be such that their disclosure "would expose an agency's decisionmaking process in such a way as to discourage candid discussion within the agency and thereby undermine the agency's ability to perform its functions."

The redacted materials include internal discussions of how to respond to a broadband consumer's inquiry sent to the ombudsperson and drafts of a blog post published by the ombudsperson. We have determined that it is reasonably foreseeable that disclosure would harm the Commission's deliberative processes, which Exemption 5 is intended to protect. Release of this information would chill deliberations within the Commission and impede the candid exchange of ideas.

The FOIA requires that "any reasonably segregable portion of a record" must be released after appropriate application of the Act's exemptions. The statutory standard requires the release of any portion of a record that is nonexempt and that is "reasonably segregable" from the exempt portion. However, when nonexempt information is "inextricably intertwined" with exempt information, reasonable segregation is not possible. The redactions and/or withholdings made

¹ 5 U.S.C. § 552(b)(6).

² 5 U.S.C. § 552(b)(5).

³ NLRB v. Sears Roebuck & Co., 421 U.S. 132, 151 (1975).

⁴ *Id.* at 151-52.

⁵ Formaldehyde Inst. v. Dep't of Health and Human Servs., 889 F.2d 1118, 1122 (D.C. Cir. 1989); see also Coastal States Gas Corp. v. Dep't of Energy, 617 F.2d 854, 866 (D.C. Cir. 1980) ("In deciding whether a document should be protected by the privilege we look to whether the document is . . . generated before the adoption of an agency policy and whether . . . it reflects the give-and-take of the consultative process. The exemption thus covers recommendations, draft documents, proposals, suggestions, and other subjective documents. . . . ").

⁶ Formaldehyde Inst., 889 F.2d at 1122 (quoting Dudman Comme'ns Corp. v. Dep't of the Air Force, 815 F.2d 1565, 1568 (D.C. Cir. 1987).

⁷ 5 U.S.C. § 552(b) (sentence immediately following exemptions).

⁸ Mead Data Cent. Inc. v. Dep't of the Air Force, 566 F.2d 242, 260 (D.C. Cir. 1977).

are consistent with our responsibility to determine if any segregable portions can be released. To the extent non-exempt material is not released, it is inextricably intertwined with exempt material.

We are required by both the FOIA and the Commission's own rules to charge requesters certain fees associated with the costs of searching for, reviewing, and duplicating the sought after information. To calculate the appropriate fee, requesters are classified as: (1) commercial use requesters; (2) educational requesters, non-commercial scientific organizations, or representatives of the news media; or (3) all other requesters. 10

Pursuant to section 0.466(a)(5)-(7) of the Commission's rules, you have been classified as category (2), "educational requesters, non-commercial scientific organizations, or representatives of the news media." As an "educational requester, non-commercial scientific organization, or representative of the news media," the Commission assesses charges to recover the cost of reproducing the records requested, excluding the cost of reproducing the first 100 pages. As we are producing the records electronically, you will not be billed for any document reproduction.

You have requested a fee waiver pursuant to section 0.470(e) of the Commission's rules.¹² As you are not required to pay any fees in relation to your FOIA request, the Office of the General Counsel, which reviews such requests, does not make a determination on your request for a fee waiver.¹³

If you consider this to be a denial of your FOIA request, you may seek review by filing an application for review with the Office of General Counsel. An application for review must be *received* by the Commission within 90 calendar days of the date of this letter. You may file an application for review by mailing the application to Federal Communications Commission, Office of General Counsel, 445 12th St SW, Washington, DC 20554, or you may file your application for review electronically by e-mailing it to <u>FOIA-Appeal@fcc.gov</u>. Please caption the envelope (or subject line, if via e-mail) and the application itself as "Review of Freedom of Information Action."

If you would like to discuss this response before filing an application for review to attempt to resolve your dispute without going through the appeals process, you may contact the Commission's FOIA Public Liaison for assistance at:

FOIA Public Liaison
Federal Communications Commission, Office of the Managing Director, Performance
Evaluation and Records Management
445 12th St SW, Washington, DC 20554
202-418-0440
FOIA-Public-Liaison@fcc.gov

⁹ See 5 U.S.C. § 552(a)(4)(A), 47 C.F.R. § 0.470.

¹⁰ 47 C.F.R. § 0.470.

¹¹ 47 C.F.R. § 0.466(a)(5)-(7).

¹² 47 C.F.R. § 0.470(e).

¹³ 47 C.F.R. § 0.470(e)(5).

¹⁴ 47 C.F.R. §§ 0.461(j), 1.115; 47 C.F.R. § 1.7 (documents are considered filed with the Commission upon their receipt at the location designated by the Commission).

If you are unable to resolve your FOIA dispute through the Commission's FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman's office, offers mediation services to help resolve disputes between FOIA requesters and Federal agencies. The contact information for OGIS is:

Office of Government Information Services
National Archives and Records Administration
8601 Adelphi Road–OGIS
College Park, MD 20740-6001
202-741-5770
877-684-6448
ogis@nara.gov
ogis.archives.gov

Enclosures

cc: FCC FOIA Office

Sincerely,

Elizabeth Lyle Ror

Assistant General Counsel

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From: Kristine Fargotstein Kristine.Fargotstein@fcc.gov & Subject: FOIA Requests 2017-565, 577, & 568 (2 of 6)

Date: September 14, 2017 at 2:22 PM To: Carmen Scurato cscurato@nhmc.org



This is email two of six in a series for the final production of documents in response to your Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565, 2017-577, and 2017-638.

Kristine Fargotstein **Special Counsel** Office of General Counsel **Federal Communications Commission** (202) 418-2774



Consumer Compl...ints.zip

Subject: FOIA Requests 2017-565, 577, & 568 (3 of 6)

Date: September 14, 2017 at 2:22 PM
To: Carmen Scurato cscurato@nhmc.org



This is email three of six in a series for the final production of documents in response to your Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565, 2017-577, and 2017-638.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



ZIP

Subject: FOIA Requests 2017-565, 577, & 568 (4 of 6)

Date: September 14, 2017 at 2:23 PM
To: Carmen Scurato cscurato@nhmc.org



This is email four of six in a series for the final production of documents in response to your Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565, 2017-577, and 2017-638.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



JP.

Subject: FOIA Requests 2017-565, 577, & 568 (5 of 6)

Date: September 14, 2017 at 2:24 PM
To: Carmen Scurato cscurato@nhmc.org



This is email five of six in a series for the final production of documents in response to your Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565, 2017-577, and 2017-638.

Kristine Fargotstein Special Counsel Office of General Counsel Federal Communications Commission (202) 418-2774



Subject: FOIA Requests 2017-565, 577, & 568 (6 of 6)

Date: September 14, 2017 at 2:26 PM To: Carmen Scurato cscurato@nhmc.org



This is email six of six in a series for the final production of documents in response to your Freedom of Information (FOIA) request, FOIA Control Nos. 2017-565, 2017-577, and 2017-638.

Kristine Fargotstein **Special Counsel** Office of General Counsel Federal Communications Commission (202) 418-2774



Consumer Compl...ses.zip

DOCUMENT OFF-LINE

This page has been substituted for one of the following:

- o An oversize page or document (such as a map) which was too large to be scanned into the ECFS system.
 - o Microfilm, microform, certain photographs or videotape.
- X. Other materials which, for one reason or another, could not be scanned into the ECFS system.

The actual document, page(s) or materials may be reviewed by contacting an Information Technician at the FCC Reference Information Center at 445 12th Street, SW, Washington, DC, Room CY-A257. Please note the applicable docket or rulemaking number, document type and any other relevant information about the document in order to ensure speedy retrieval by the Information Technician

USB thumb drive